

EAST Search History**EAST Search History (Prior Art)**

| Ref # | Hits | Search Query | DBs | Default Operator | Plurals | Time Stamp |
|-------|---------|-----------------------------|------------------------------|------------------|---------|---------------------|
| L1 | 19 | mandatory adj convertible | US-PGPUB; USPAT; USOCR | OR | ON | 2009/07/29 18:33 |
| L2 | 1302205 | DECS | US-PGPUB; USPAT; USOCR | OR | ON | 2009/07/29 18:33 |
| L3 | 127 | call adj spread | US-PGPUB; USPAT; USOCR | OR | ON | 2009/07/29 18:33 |
| L4 | 19 | mandatory adj convertible | US-PGPUB; USPAT; USOCR | OR | ON | 2009/07/29 18:33 |
| L5 | 4 | mandatorily adj convertible | US-PGPUB; USPAT; USOCR | OR | ON | 2009/07/29 18:33 |
| L6 | 19 | mandatory adj convertible | US-PGPUB; USPAT; USOCR | OR | ON | 2009/07/29 18:33 |
| L7 | 4 | mandatorily adj convertible | US-PGPUB; USPAT; USOCR | OR | ON | 2009/07/29 18:33 |
| L8 | 19 | L6 L7 | US-PGPUB; USPAT; USOCR | OR | ON | 2009/07/29 18:33 |
| L9 | 127 | call adj spread | US-PGPUB; USPAT; USOCR | OR | ON | 2009/07/29 18:33 |
| L10 | 19 | L6 L7 | US-PGPUB; USPAT; USOCR | OR | ON | 2009/07/29 18:33 |
| L11 | 1 | L10 and L9 | US-PGPUB; USPAT; USOCR | OR | ON | 2009/07/29 18:33 |
| L12 | 4313 | REIT | US-PGPUB; USPAT; USOCR | OR | ON | 2009/07/29 18:34 |
| L13 | 1194 | common adj stock | US-PGPUB; USPAT; USOCR | OR | ON | 2009/07/29 18:34 |

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|-----|------|---------------------------|------------------------------|----|----|---------------------|
| L14 | 4313 | REIT | US-PGPUB; USPAT; USOCR | OR | ON | 2009/07/29 18:34 |
| L15 | 1194 | common adj stock | US-PGPUB; USPAT; USOCR | OR | ON | 2009/07/29 18:34 |
| L16 | 54 | L14 and L15 | US-PGPUB; USPAT; USOCR | OR | ON | 2009/07/29 18:34 |
| L17 | 19 | mandatory adj convertible | US-PGPUB; USPAT; USOCR | OR | ON | 2009/07/29 18:34 |
| L18 | 19 | L17 | US-PGPUB; USPAT; USOCR | OR | ON | 2009/07/29 18:34 |
| L19 | 504 | stock with trust | US-PGPUB; USPAT; USOCR | OR | ON | 2009/07/29 18:34 |
| L20 | 504 | L19 | US-PGPUB; USPAT; USOCR | OR | ON | 2009/07/29 18:34 |
| L21 | 127 | call adj spread | US-PGPUB; USPAT; USOCR | OR | ON | 2009/07/29 18:34 |
| L22 | 127 | L21 | US-PGPUB; USPAT; USOCR | OR | ON | 2009/07/29 18:34 |
| L23 | 513 | investment adj trust | US-PGPUB; USPAT; USOCR | OR | ON | 2009/07/29 18:34 |
| L24 | 513 | L23 | US-PGPUB; USPAT; USOCR | OR | ON | 2009/07/29 18:34 |
| L25 | 1 | L9 and L23 | US-PGPUB; USPAT; USOCR | OR | ON | 2009/07/29 18:34 |
| L26 | 1 | L25 | US-PGPUB; USPAT; USOCR | OR | ON | 2009/07/29 18:34 |
| L27 | 1194 | common adj stock | US-PGPUB; USPAT; USOCR | OR | ON | 2009/07/29 18:34 |
| L28 | 90 | L23 and L27 | US-PGPUB; USPAT; USOCR | OR | ON | 2009/07/29 18:34 |

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| L29 | 38 | L28 and spread | US-PGPUB; USPAT; USOCR | OR | ON | 2009/07/29 18:34 |
| L30 | 38 | L29 | US-PGPUB; USPAT; USOCR | OR | ON | 2009/07/29 18:34 |
| L31 | 31834 | trust | US-PGPUB; USPAT; USOCR | OR | ON | 2009/07/29 18:35 |
| L32 | 31834 | L31 | US-PGPUB; USPAT; USOCR | OR | ON | 2009/07/29 18:35 |
| L33 | 24 | equity adj contract | US-PGPUB; USPAT; USOCR | OR | ON | 2009/07/29 18:35 |
| L34 | 438 | forward adj contract | US-PGPUB; USPAT; USOCR | OR | ON | 2009/07/29 18:35 |
| L35 | 460 | L33 L34 L10 | US-PGPUB; USPAT; USOCR | OR | ON | 2009/07/29 18:35 |
| L36 | 134 | L35 and L31 | US-PGPUB; USPAT; USOCR | OR | ON | 2009/07/29 18:35 |
| L37 | 134 | L36 | US-PGPUB; USPAT; USOCR | OR | ON | 2009/07/29 18:35 |
| L38 | 66 | L9 and proceeds | US-PGPUB; USPAT; USOCR | OR | ON | 2009/07/29 18:35 |
| L39 | 66 | L38 | US-PGPUB; USPAT; USOCR | OR | ON | 2009/07/29 18:35 |
| L40 | 7 | L9 same proceeds | US-PGPUB; USPAT; USOCR | OR | ON | 2009/07/29 18:35 |
| L41 | 7 | L40 | US-PGPUB; USPAT; USOCR | OR | ON | 2009/07/29 18:35 |
| L42 | 582 | debt adj instrument | US-PGPUB; USPAT; USOCR | OR | ON | 2009/07/29 18:35 |
| L43 | 582 | L42 | US-PGPUB; USPAT; USOCR | OR | ON | 2009/07/29 18:35 |

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|-----|---------|---|------------------------------|----|----|---------------------|
| L44 | 582 | L42 | US-PGPUB; USPAT; USOCR | OR | ON | 2009/07/29 18:35 |
| L45 | 116991 | aggregation | US-PGPUB; USPAT; USOCR | OR | ON | 2009/07/29 18:35 |
| L46 | 116991 | L45 | US-PGPUB; USPAT; USOCR | OR | ON | 2009/07/29 18:35 |
| L47 | 133 | L42 and L45 | US-PGPUB; USPAT; USOCR | OR | ON | 2009/07/29 18:35 |
| L48 | 133 | L47 | US-PGPUB; USPAT; USOCR | OR | ON | 2009/07/29 18:35 |
| L49 | 1098833 | vehicle | US-PGPUB; USPAT; USOCR | OR | ON | 2009/07/29 18:35 |
| L50 | 1098833 | L49 | US-PGPUB; USPAT; USOCR | OR | ON | 2009/07/29 18:35 |
| L51 | 22 | L49 and L9 | US-PGPUB; USPAT; USOCR | OR | ON | 2009/07/29 18:35 |
| L52 | 22 | L51 | US-PGPUB; USPAT; USOCR | OR | ON | 2009/07/29 18:35 |
| L53 | 24 | structured near3 investment near3 vehicle | US-PGPUB; USPAT; USOCR | OR | ON | 2009/07/29 18:35 |
| L54 | 24 | L53 | US-PGPUB; USPAT; USOCR | OR | ON | 2009/07/29 18:35 |
| L55 | 39 | structured near3 investment near3 product | US-PGPUB; USPAT; USOCR | OR | ON | 2009/07/29 18:35 |
| L56 | 39 | L55 | US-PGPUB; USPAT; USOCR | OR | ON | 2009/07/29 18:35 |
| L57 | 190 | structured near3 investment | US-PGPUB; USPAT; USOCR | OR | ON | 2009/07/29 18:35 |
| L58 | 190 | L57 | US-PGPUB; USPAT; USOCR | OR | ON | 2009/07/29 18:35 |

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|-----|---------|--------------------------------------|------------------------------|----|----|---------------------|
| L59 | 190 | L53 L55 L57 | US-PGPUB; USPAT; USOCR | OR | ON | 2009/07/29 18:35 |
| L60 | 190 | L59 | US-PGPUB; USPAT; USOCR | OR | ON | 2009/07/29 18:35 |
| L61 | 0 | L59 and L9 | US-PGPUB; USPAT; USOCR | OR | ON | 2009/07/29 18:35 |
| L62 | 0 | L61 | US-PGPUB; USPAT; USOCR | OR | ON | 2009/07/29 18:35 |
| L63 | 45 | closed near2 end near2 investment | US-PGPUB; USPAT; USOCR | OR | ON | 2009/07/29 18:35 |
| L64 | 45 | L63 | US-PGPUB; USPAT; USOCR | OR | ON | 2009/07/29 18:35 |
| L65 | 31834 | trust | US-PGPUB; USPAT; USOCR | OR | ON | 2009/07/29 18:35 |
| L66 | 31834 | L65 | US-PGPUB; USPAT; USOCR | OR | ON | 2009/07/29 18:35 |
| L67 | 41 | L65 and L63 | US-PGPUB; USPAT; USOCR | OR | ON | 2009/07/29 18:35 |
| L68 | 41 | L67 | US-PGPUB; USPAT; USOCR | OR | ON | 2009/07/29 18:35 |
| S1 | 18 | mandatory adj convertible | US-PGPUB; USPAT; USOCR | OR | ON | 2008/03/28 14:25 |
| S2 | 1214353 | DECS | US-PGPUB; USPAT; USOCR | OR | ON | 2008/03/28 14:25 |
| S3 | 18 | S1 | US-PGPUB; USPAT; USOCR | OR | ON | 2008/03/28 14:26 |
| S4 | 100 | call adj spread | US-PGPUB; USPAT; USOCR | OR | ON | 2008/03/30 15:33 |
| S5 | 18 | mandatory adj convertible | US-PGPUB; USPAT; USOCR | OR | ON | 2008/03/30 15:33 |

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|-----|-------|---------------------------------------|------------------------------|----|----|---------------------|
| S6 | 4 | mandatorily adj convertible | US-PGPUB; USPAT; USOCR | OR | ON | 2008/03/30 15:33 |
| S7 | 18 | S5 S6 | US-PGPUB; USPAT; USOCR | OR | ON | 2008/03/30 15:33 |
| S8 | 1 | S7 and S4 | US-PGPUB; USPAT; USOCR | OR | ON | 2008/03/30 15:33 |
| S9 | 4252 | REIT | US-PGPUB; USPAT; USOCR | OR | ON | 2008/03/30 15:55 |
| S10 | 1023 | common adj stock | US-PGPUB; USPAT; USOCR | OR | ON | 2008/03/30 15:56 |
| S11 | 42 | S9 and S10 | US-PGPUB; USPAT; USOCR | OR | ON | 2008/03/30 15:56 |
| S12 | 18 | mandatory adj convertible | US-PGPUB; USPAT; USOCR | OR | ON | 2008/03/30 16:07 |
| S13 | 399 | stock with trust | US-PGPUB; USPAT; USOCR | OR | ON | 2008/03/30 16:10 |
| S14 | 13 | S13 and S4 | US-PGPUB; USPAT; USOCR | OR | ON | 2008/03/30 16:11 |
| S15 | 16 | S4 and trust | US-PGPUB; USPAT; USOCR | OR | ON | 2008/03/30 16:19 |
| S16 | 12016 | SIV | US-PGPUB; USPAT; USOCR | OR | ON | 2008/03/30 16:22 |
| S17 | 26144 | trust | US-PGPUB; USPAT; USOCR | OR | ON | 2008/03/30 16:22 |
| S18 | 60 | S16 and S17 | US-PGPUB; USPAT; USOCR | OR | ON | 2008/03/30 16:22 |
| S19 | 15 | structured adj investment adj vehicle | US-PGPUB; USPAT; USOCR | OR | ON | 2008/03/30 16:23 |
| S20 | 100 | call adj spread | US-PGPUB; USPAT; USOCR | OR | ON | 2008/03/30 16:25 |

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| S21 | 0 | S19 and S20 | US-PGPUB; USPAT; USOCR | OR | ON | 2008/03/30 16:25 |
| S22 | 406 | investment adj trust | US-PGPUB; USPAT; USOCR | OR | ON | 2008/03/30 16:40 |
| S23 | 1023 | common adj stock | US-PGPUB; USPAT; USOCR | OR | ON | 2008/03/30 16:40 |
| S24 | 73 | S22 and S23 | US-PGPUB; USPAT; USOCR | OR | ON | 2008/03/30 16:40 |
| S25 | 1 | S4 and S22 | US-PGPUB; USPAT; USOCR | OR | ON | 2008/03/30 16:42 |
| S26 | 29 | S24 and spread | US-PGPUB; USPAT; USOCR | OR | ON | 2008/03/30 16:42 |
| S27 | 152 | spdr | US-PGPUB; USPAT; USOCR | OR | ON | 2008/03/30 17:07 |
| S28 | 19 | equity adj contract | US-PGPUB; USPAT; USOCR | OR | ON | 2008/03/30 17:21 |
| S29 | 335 | forward adj contract | US-PGPUB; USPAT; USOCR | OR | ON | 2008/03/30 17:21 |
| S30 | 353 | S28 S29 S7 | US-PGPUB; USPAT; USOCR | OR | ON | 2008/03/30 17:21 |
| S31 | 26144 | trust | US-PGPUB; USPAT; USOCR | OR | ON | 2008/03/30 17:21 |
| S32 | 101 | S30 and S31 | US-PGPUB; USPAT; USOCR | OR | ON | 2008/03/30 17:22 |
| S33 | 12 | S20 and S32 | US-PGPUB; USPAT; USOCR | OR | ON | 2008/03/30 17:22 |
| S34 | 49 | S4 and proceeds | US-PGPUB; USPAT; USOCR | OR | ON | 2008/03/30 17:38 |
| S35 | 4 | S4 same proceeds | US-PGPUB; USPAT; USOCR | OR | ON | 2008/03/30 17:38 |

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|-----|---------|---|------------------------------|----|----|---------------------|
| S36 | 436 | debt adj instrument | US-PGPUB; USPAT; USOCR | OR | ON | 2008/03/30 18:37 |
| S37 | 96843 | aggregation | US-PGPUB; USPAT; USOCR | OR | ON | 2008/03/30 18:37 |
| S38 | 85 | S36 and S37 | US-PGPUB; USPAT; USOCR | OR | ON | 2008/03/30 18:38 |
| S39 | 1000546 | vehicle | US-PGPUB; USPAT; USOCR | OR | ON | 2008/03/30 18:42 |
| S40 | 18 | S39 and S4 | US-PGPUB; USPAT; USOCR | OR | ON | 2008/03/30 18:42 |
| S41 | 20 | structured near3 investment near3 vehicle | US-PGPUB; USPAT; USOCR | OR | ON | 2008/03/30 19:16 |
| S42 | 24 | structured near3 investment near3 product | US-PGPUB; USPAT; USOCR | OR | ON | 2008/03/30 19:16 |
| S43 | 141 | structured near3 investment | US-PGPUB; USPAT; USOCR | OR | ON | 2008/03/30 19:16 |
| S44 | 141 | S41 S42 S43 | US-PGPUB; USPAT; USOCR | OR | ON | 2008/03/30 19:17 |
| S45 | 0 | S44 and S4 | US-PGPUB; USPAT; USOCR | OR | ON | 2008/03/30 19:17 |
| S46 | 35 | closed near2 end near2 investment | US-PGPUB; USPAT; USOCR | OR | ON | 2008/03/30 19:53 |
| S47 | 26144 | trust | US-PGPUB; USPAT; USOCR | OR | ON | 2008/03/30 19:53 |
| S48 | 31 | S47 and S46 | US-PGPUB; USPAT; USOCR | OR | ON | 2008/03/30 19:53 |

7/29/2009 6:38:14 PM
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